

IAP7 Rec'd PCT/PTO 01 FEB 2006

FORM PTO-1390 (Modified)  
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 12-2004)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>016906-0431</b> U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/551,181</b>
INTERNATIONAL APPLICATION NO. PCT/EP2004/002337	INTERNATIONAL FILING DATE 03/08/2004	PRIORITY DATE CLAIMED 03/31/2003
TITLE OF INVENTION HEAT EXCHANGER AND METHOD FOR TREATING THE SURFACE OF SAID HEAT EXCHANGER		
APPLICANT(S) FOR DO/EO/US Snjezana BOGER, Peter ENGLERT; Klaus FISCHLE; Oliver MAMBER and Sabine SEDLMEIR		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4.	<input type="checkbox"/> The US has been elected (Article 31).	
5.	<input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6.	<input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).	
7.	<input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made.	
8.	<input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
<b>Items 11 to 20 below concern other document(s) or information included:</b>		
11.	<input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12.	<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input type="checkbox"/> A preliminary amendment.	
14.	<input checked="" type="checkbox"/> A Supplemental Application Data Sheet under 37 CFR 1.76.	
15.	<input type="checkbox"/> A substitute specification.	
16.	<input type="checkbox"/> A power of attorney and/or change of address letter.	
17.	<input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825	
18.	<input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).	
19.	<input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).	
20.	<input checked="" type="checkbox"/> Other items or information: Power of Attorney or Authorization of Agent by Assignee and Statement Under 37 CFR 3.73(b).	

U.S. APPLICATION NO. (If known, see 37 CFR. 1.5) 10/551,181	INTERNATIONAL APPLICATION NO. PCT/EP2004/002337	ATTORNEY'S DOCKET NUMBER 016906-0431		
The following fees have been submitted:				
21. <input checked="" type="checkbox"/> Basic national fee \$300 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200				
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500				
<b>TOTAL OF ABOVE 21, 22 and 23 =</b>				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		
12 - 100 = 0	/50 = 0	x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims	17	- 20 = 0	x \$ 50.00	\$ 0.00
Independent Claims	1	- 3 = 0	x \$ 200.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			+ \$ 0.00	
			<b>SUBTOTAL =</b>	\$ 0.00
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$	
			<b>TOTAL NATIONAL FEE =</b>	\$ 0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property			\$	
			<b>TOTAL FEES ENCLOSED =</b>	\$ 0.00
			<b>Amount to be refunded:</b>	
			<b>charged:</b>	
a. <input type="checkbox"/> A check in the amount of <u>\$0.00</u> to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO:				
Foley & Lardner LLP Customer Number: 22428				
 SIGNATURE <b>Richard L. Schwab</b> NAME <b>25,479</b> REGISTRATION NUMBER				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 016906-0431

Applicant: Snjezana BOGER et al.

Title: HEAT EXCHANGER AND METHOD FOR TREATING THE SURFACE  
OF SAID HEAT EXCHANGER

Appl. No.: 10/551,181

Filing Date: 09/29/05

Examiner: Unassigned

Art Unit: Unassigned

**SUPPLEMENTAL APPLICATION DATA SHEET TRANSMITTAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Applicants respectfully request to correct the Application Data Sheet in connection with the above-identified application. A Supplemental Application Data Sheet is attached herewith in order to change the citizenship of the first inventor.

In the event a fee is required, the Commissioner is hereby authorized to charge the Deposit Account No. 19-0741.

Respectfully submitted,

Date February 1, 2006

FOLEY & LARDNER LLP  
Customer Number: 22428

22428

PATENT TRADEMARK OFFICE  
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Richard L. Schwaab

Reg. No. 25,479